



RTD Code of Ethics Yearly Financial Interest Disclosure Form

Under the RTD Code of Ethics (Feb. 28, 2018), "Employees in positions determined by RTD to be responsible for procurements or engaged in the award or administration of contracts must complete and submit to the General Counsel an interest disclosure form subject to procedures approved by the Senior Leadership Team. The disclosure form is kept confidential and is used to create a general, non-personalized list of those persons or entities with whom RTD may be restricted from doing business. No financial data is required. Proposed transactions are compared with the list to see if there are relationships that could cause a conflict of interest, in which case the General Counsel and Senior Manager of Materials Management will consult."

You must disclose all interests, as defined in Part C, Section 1 of the RTD Code of Ethics (see next page for definition) in entities that engage in activities related to the business of RTD. Such entities include but are not limited to: transit equipment suppliers, companies engaged in manufacturing rail transit rolling stock and related components, construction companies engaged in transit related systems, information technology products, and related professional services for the above. It is your responsibility to determine which entities qualify for disclosure. Please forward any questions to the General Counsel.

Note: Submission of this form does not relieve you from your general and ongoing requirements under the RTD Code of Ethics, including the requirement to disclose any interest that you have reason to believe may be affected by your official acts or by the official acts of the District. RTD employees are expected to read and be familiar with the Code of Ethics, which is available on the Hub.

1. PLEASE TYPE OR PRINT LEGIBLY:

Your Name: Vincent Bozela

Employee Number: [REDACTED]

2. CHECK ONE:

I hereby certify that I **DO NOT** have an "interest" (as defined in the RTD Code of Ethics; see next page for definition) in **ANY** entities that engage in activities related to the business of RTD.

I hereby certify that I **HAVE** an "interest" (as defined in the RTD Code of Ethics; see next page for definition) only in the following entities that engage in activities related to the business of RTD (attach additional pages if necessary):

NAME OF ENTITY:

Smart Commute Metro North

TYPE OF ACTIVITY RELATED TO RTD:

Spouse is Executive Director of entity

that receives benefit from RTD

3. SIGN AND DATE (ELECTRONIC SIGNATURE PERMITTED):

Signature:

Date: 12/17/2019

SUBMIT IT TO THE DEPARTMENT OF GENERAL COUNSEL (ATTN: GENERAL COUNSEL ADMINISTRATOR) IN A CONFIDENTIAL ENVELOPE.